IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Assistant Commissioner for Patents
Box Patent Application
Washington, DC
20231 U.S.A.

Utility Patent Application Transmittal
(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No: 153-04 US   Date: February 21, 2002
First Inventor: Michael Liwak
Title: Optical Network Architecture for WDM Communication

Application Elements:

1. ☒   Fee Calculation (see Box 14)

2. ☒   Applicant claims small entity status.

3. ☒   Specification   Total Pages -   31
        - Description
        - Claims
        - Abstract of the Disclosure

4. ☒   Drawing(s) - (8 Figures)   Total Sheets -   8

5. ☒   Oath or Declaration   Total Pages -   2

    a. ☒   Newly executed (original or copy)

    b. ☐   Unexecuted

    c. ☐   Copy from a prior application (37 CFR 1.63(d))
            (for continuation/divisional with Box 12 completed)

        i. ☐   DELETION OF INVENTOR(S)
                Signed statement attached deleting inventor(s) named in
                the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

Accompanying Application Parts:

6.   ☒   Assignment Papers (cover sheet & document(s))

7.   ☐   Information Disclosure Statement (IDS)/PTO-1449   Copies of IDS Citations   ☐

8.   ☐   Preliminary Amendment

9.   ☒   Return Receipt Postcard *(Should be specifically itemized)*

10. ☐   Certified Copy of Priority Document(s) *(if foreign priority is claimed)*

11. ☐   Other:

Attorney Docket No: 153-04 US   Page 2
First Inventor: Michael Liwak

12.   If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below

and in a preliminary amendment:

☐ Continuation   ☐ Divisional   ☐ Continuation-in-part (CIP)

of prior Application No.   filed

Prior application information: Examiner: Group Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon which a portion has been inadvertently omitted from the submitted application parts.

13.   CORRESPONDENCE ADDRESS:

Customer Number: **25319**
Freedman & Associates
117 Centrepointe Drive, Suite 350
Nepean, Ontario
K2G 5X3 CANADA
Tel: (613) 274-7272
Fax: (613) 274-7414
Agent: Gordon Freedman, Registration No. 41,553

14.   **FEE CALCULATION:**

☒ Applicant Claims Small Entity Status

					AMOUNT
1. BASIC FILING FEE					
	Large Entity		Small Entity		
Fee Code	Fee ($)	Fee Code	Fee ($)		
101	740.00	201	370.00		$370.00
2. EXTRA CLAIM FEES			Extra Claims	Fee From Below	
Total Claims	37 - 20 =		17	x $ 9.00 =	$153.00
Independent Claims	6 - 3 =		3	x $42.00 =	$126.00
Multiple Dependent				$** =	
	Large Entity		Small Entity		
Fee Code	Fee ($)	Fee Code	Fee ($)		
103	18.00	203	9.00		
102	84.00	202	42.00		
3. OTHER					
Fee Code - 581	Fee ($) - 40.00	Recording Patent Assignment			$ 40.00
				TOTAL	$689.00

15.   ☒   **The Commissioner is hereby authorized to charge all fees in Box 14 above to Deposit Account 50-1142.**

16.   ☒   **The Commissioner is hereby authorized to charge any additional fees required or credit any overpayment to Deposit Account No. 50-1142.**

Submitted By

Gordon Freedman, Reg. No. 41,553
Telephone: (613) 274-7272